

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400

## PATENT APPLICATION

ATTORNEY DOCKET NO. 10003977-1

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Michael D Whitmarsh et al

Confirmation No.: 5015

Application No.: 09/710287

Examiner: Pham, Thierry L

Filing Date: Nov 10, 2000

Group Art Unit: 2624

Title: Print Processing System And Method With Document Advisor Service

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:  
(X) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- ( ) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: \_\_\_\_\_

OR

- (X) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number 7031 872-9308 on Aug. 2, 2004  
Number of pages: 8

Typed Name: Heather A. Ellis

Signature: Heather A. Ellis

Respectfully submitted,

Michael D Whitmarsh et al

By James R. McDaniel

James R. McDaniel

Attorney/Agent for Applicant(s)  
Reg. No. 34,481Date: 7/27/04

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TO: EXAMINER, THIERRY L. PHAM

RE: PATENT APPLICATION ATTORNEY DOCKET NO. 10003977-1

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S): Michael D. Whitmarsh et al

CONFIRMATION NO: 5015

SERIAL NO.: 09/710287

GROUP ART UNIT: 2624

FILED: NOV 10, 2000

EXAMINER: Thierry L. Pham

SUBJECT: Print Processing System And Method With Document Advisor  
Service

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being facsimile  
transmitted to the Patent and Trademark Office (Fax No. (703) 872-9306) on  
the date shown below:

1. This Certification of Facsimile Transmission (1 pg.)
2. Information Disclosure Statement (1 pg)
3. Statement under 37 CFR 1.97(e) (1 pg)
4. Form PTO-1449 (1 pg)
5. 4 cited reference(s) (4 pp)

Date of Facsimile

Aug 2, 2004

Typed Name

Heather A. Ellis

Signature

Heather A. EllisNUMBER OF PAGES IN FACSIMILE: 8

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Title: Print Processing System And Method With Document Advisor Service

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Commissioner for Patents  
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STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,  
Michael D Whitmarsh et al

By   
James R. McDaniel

Attorney/Agent for Applicant(s)  
Reg. No. 34,481

Date: 7/27/04

Telephone No.: (208) 396-4095

## PATENT APPLICATION

Sheet 1 of 1

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	10003977-1	09/710,287	5015
	APPLICANT <b>WHITMARSH et al</b>		
	FILING DATE	GROUP	
	NOV 10, 2000	2624	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A				
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	JP2000105678	APR 11, 2000	CANON INC	SEE PAJ ABSTRACT	
	1M	JP09265382	OCT 07, 1997	CANON INC	SEE PAJ ABSTRACT	
	1N	JP09198354	JUL 31, 1997	FUJI XEROX CO LTD	SEE PAJ ABSTRACT	
	1O	JP2000148859	MAY 30, 2000	BENETSUSE CORP KK	SEE PAJ ABSTRACT	
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED

Rev 05/04 (PTO1449)